

Annexures:

Annexure I: FORM FOR OBTAINING PRE-TRADE APPROVAL (PTA)

Annexure II: FORM FOR APPROVAL OF PRE-CLEARANCE TRADE (APCT)

Annexure III: FORM FOR CONFIRMING APPROVED TRADE (CAT)

Formats:

FORM A

FORM B

FORM C

FORM PTA
PRE-TRADE APPROVAL - APPLICATION FORM

To
The Compliance Officer
IFM IMPEX GLOBAL LIMITED
C-351, GALI NO. 9, MAJLIS PARK
NEW DELHI 110033

Sub: Approval for pre-clearance of trade

I _____, the undersigned _____ of the Company holding _____ Equity Shares (including Shares held by my immediate relatives) intend to deal in the Equity Shares of the Company exceeding the threshold limit as provided under the Code of Conduct to Regulate, Monitor and Reporting of Trading by Insiders.

I have a demat account with _____. My DP ID and client ID is _____. I want to buy / sell _____ Equity Shares of **IFM IMPEX GLOBAL LIMITED**.

I hereby declare and confirm that:

- a. I do not possess any "Unpublished Price Sensitive Information" in relation to the Securities of IFM IMPEX GLOBAL LIMITED.
- b. In the event that I have access to or receive Unpublished Price Sensitive Information after signing of this undertaking but before the execution of the transaction for which I have sought pre-clearance, I shall inform the Compliance Officer of IFM IMPEX GLOBAL LIMITED, about the change in my position and that I would refrain from trading in the Securities so pre-cleared, till the time such information becomes generally available to the public.
- c. That I have not contravened the Code of Conduct to Regulate, Monitor and Report trading by Insiders as notified by IFM IMPEX GLOBAL LIMITED from time to time.
- d. That I have made a full and true disclosure in the matter.
- e. I hereby declare that I shall execute my order in respect of securities of IFM IMPEX GLOBAL LIMITED within seven trading days from the date of approval of by Compliance officer as sought above. If the order is not executed within seven trading days after getting approval, I shall obtain pre-clearance for the transaction again.

You are requested to approve proposed trading in securities of IFM IMPEX GLOBAL LIMITED.

Yours faithfully,

Signature: _____

Name: _____

Designation: _____

Date: _____

Place: _____

**FORM APCT
FORM APPROVAL FOR PRE-CLEARANCE TRADE**

To,

Name:

Designation:

Place:

Dear Sir,

This is to inform you that your request for buy _____ (_____) Equity Shares of IFM IMPEX GLOBAL LIMITED as mentioned in your application dated _____ has been approved.

Please note that the said transaction must be completed on or before _____, i.e. within seven trading days from date of approval and pursuant to clause 3(e) of the Insider Code of Conduct, you shall not enter in to the contra trade within the 6 months of such trade.

In case you do not execute the approved Trade on or before the aforesaid date you would have to seek fresh pre-clearance before executing any transaction/deal in the securities of the company.

Yours faithfully

For IFM IMPEX GLOBAL LIMITED

Name of Compliance officer

Date:

Place:

FORM CAT
FORM FOR CONFIRMATION OF APPROVED TRADE

To

The Compliance Officer
IFM IMPX GLOBAL LIMITED
C-351, GALI NO. 9, MAJLIS PARK
NEW DELHI 110033

I hereby confirm that the Trade for which Pre-clearance approval was granted on _____ has been executed and completed on _____ to buying / selling _____ (numbers) securities of the Company and I shall not enter in to the contra trade within the 6 months of such trade.

Signature:

Name:

Designation:

Date: _____

Place: _____

FORM A

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (1) (a) read with Regulation 6(2)]

NAME OF COMPANY

ISIN OF COMPANY

Details of Securities held by Promoter, Key Managerial Personnel (KMP), Director and other such persons as mentioned in Regulation 6(2)

Name, PAN No. & CIN/DIN & Address with Contact No.	Category of Person (Promoters/ KMP / Directors/ immediate relatives/others etc)	Securities held as on the date of regulation coming into force		% of Shareholding	Open Interest of the Future contracts held as on the date of regulation coming into force		Open Interest of the Option Contracts held as on the date of regulation coming into force	
		Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.		Number of units (contracts * lot size)	Notional value in Rupee terms	Number of units (contracts * lot size)	Notional value in Rupee terms

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Name
Designation

Date:
Place:

FORM B

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,
2015

[Regulation 7 (1) (b) read with Regulation 6(2)]

NAME OF COMPANY

ISIN OF COMPANY

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN No. & CIN/DIN & Address with Contact No.	Category of Person (Promoters / KMP / Directors/immediate relatives/others etc.)	Date of appointment of Director /KMP OR Date of becoming Promoter	Securities held at the time of becoming Promoter/appointment of Director/KMP		% of Share holding	Open Interest of the Future contracts held at the time of becoming Promoter/appointment of Director/ KMP		Open Interest of the Option Contracts held at the time of becoming Promoter/appointment of Director/ KMP	
			Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.		Number of units (contracts * lot size)	Notional value in Rupee terms	Number of units (contracts * lot size)	Notional value in Rupee terms

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Name of Person

Designation

Date:

Place:

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2) read with Regulation 6(2)]

NAME OF COMPANY

ISIN OF COMPANY

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN No., CIN/DIN, & address of Promoter/ Employee / Director with contact nos.	Category of Person (Promoters/ KMP / Directors/ immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired/Disposed		% of shareholding		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition (market purchase/ public rights/ preferential offer / off market/ Inter-se transfer etc.	Trading in derivatives (Specify type of contract, Futures or Options etc)				Exchange on which the trade was executed
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.	No.	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	Pre transaction	Post transaction	From	To			Buy		Sell		
												Value	Number of units (contracts * lot size)	Value	Number of units (contracts * lot size)	

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Signature of Person

Name of Person

Designation

Date:

Place: